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FILING DATE.**

**APPLICATION NUMBER: 60/456,148**

**FILING DATE: March 18, 2003**

**RELATED PCT APPLICATION NUMBER: PCT/US04/08593**

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03/18/03



Jc087 U.S. PTO

03-21-03 60456148 031803

Approved for use through 10/31/2002. OMB/0851-0032

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**PROVISIONAL APPLICATION FOR PATENT COVER SHEET**

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53 (c).

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J1040 U.S. PTO  
60/456148

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<input checked="" type="checkbox"/> Additional inventors are being named on the 1 separately numbered sheets attached hereto					
TITLE OF THE INVENTION (500 characters max)					
ACTIVATED FORMS OF WATER-SOLUBLE POLYMERS					
CORRESPONDENCE ADDRESS					
Direct all correspondence to:					
<input checked="" type="checkbox"/> Customer Number		20350		Place Customer Number Bar Code Label here	
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<input type="checkbox"/> Firm or Individual Name					
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ENCLOSED APPLICATION PARTS (check all that apply)					
<input checked="" type="checkbox"/> Specification Number of Pages		7		<input type="checkbox"/> CD(s), Number	
<input type="checkbox"/> Drawing(s) Number of Sheets				<input type="checkbox"/> Other (specify)	
<input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76					
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.					
<input type="checkbox"/> A check or money order is enclosed to cover the filing fees					
FILING FEE AMOUNT (\$)					
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number:				20-1430	
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.				80	
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.					
<input checked="" type="checkbox"/> No.					
<input type="checkbox"/> Yes, the name of the U.S. Government agency and the Government contract number are:					

Respectfully submitted,  
SIGNATURE

Date 03/18/03

REGISTRATION NO. 46,690  
(if appropriate)

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Docket Number: 019957-016900US

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**USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT**

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

60456148 031803

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Docket Number 019957-016900US		
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Number 2 of 2

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**Application Data Sheet****Application Information**

Application number::

Filing Date::

03/18/03

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Provisional

Subject Matter::

Utility

Suggested classification::

Suggested Group Art Unit::

CD-ROM or CD-R??::

Number of CD disks::

Number of copies of CDs::

Sequence Submission::

Computer Readable Form (CRF)?::

Number of copies of CRF::

Title::

ACTIVATED FORMS OF WATER-SOLUBLE  
POLYMERS

Attorney Docket Number::

019957-016900US

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Request for Non-Publication::

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Suggested Drawing Figure::

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Small Entity?::

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Latin name::

Variety denomination name::

Petition included?::

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Petition Type::

Licensed US Govt. Agency::

Contract or Grant Numbers One::

Secrecy Order in Parent Appl.::

No

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#### **Correspondence Information**

Correspondence Customer Number:: 20350

#### **Representative Information**

Representative Customer Number:: 20350

#### **Domestic Priority Information**

Application:: Continuity Type:: Parent Application:: Parent Filing Date::

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**Foreign Priority Information**

Country::

Application number::

Filing Date::

**Assignee Information**

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State or Province of mailing address::

Country of mailing address::

Postal or Zip Code of mailing address::

Attorney Docket No.: 019957-016900US

**PROVISIONAL**

**PATENT APPLICATION**

**ACTIVATED FORMS OF WATER-SOLUBLE POLYMERS**

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Entity: Small



## ACTIVATED FORMS OF WATER-SOLUBLE POLYMERS

### BACKGROUND OF THE INVENTION

[0001] The administration of glycosylated and non-glycosylated peptides for engendering a particular physiological response is well known in the medicinal arts. A principal factor  
5 which has limited the use of therapeutic peptides is the immunogenic nature of most peptides. To provide soluble peptide therapeutics, water-soluble polymers have been attached to the peptide backbone.

[0002] Poly(ethylene glycol) ("PEG") is an exemplary water-soluble polymer that has been conjugated to peptides. The use of PEG to derivatize peptide therapeutics has been  
10 demonstrated to reduce the immunogenicity of the peptides.

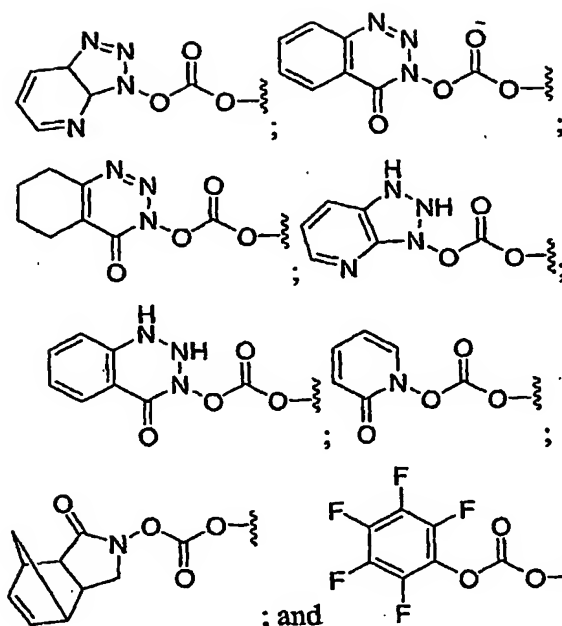
[0003] Currently, PEG, and its derivatives, are attached in a random, non-specific manner to reactive residues on a peptide backbone. For the production of therapeutic peptides, it is clearly desirable to utilize a derivatization strategy that results in the formation of a specifically labeled, readily characterizable, essentially homogeneous product. A promising  
15 route to preparing specifically labeled peptides is through the use of enzymes, such as glycosyltransferases, to append a water-soluble polymer modified sugar moiety onto a peptide.

[0004] In order to create the modified sugar moieties envisioned, activated forms of water-soluble polymers, such as PEG, are needed. The present invention fulfills these and other  
20 needs.

### BRIEF SUMMARY OF THE INVENTION

[0005] In response to the need for improved methods of preparing water-soluble polymer-modified peptides, the present invention provides compositions of activated water-soluble polymers.

25 [0006] In one aspect, the present invention provides an activated water-soluble polymer, comprising a water-soluble polymer covalently attached to an activated leaving group wherein the water-soluble polymer is a member selected from PEG, PPG, PEG derivatives, and PPG derivatives, and the activated leaving group is a member selected from



5

## DETAILED DESCRIPTION OF THE INVENTION

### Abbreviations

[0007] The abbreviations used herein have their conventional meaning within the chemical and biological arts. For example, PEG stands for poly(ethyleneglycol), and PPG stands for poly(propyleneglycol).

### 10 Definitions

[0008] Unless defined otherwise, all technical and scientific terms used herein generally have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Generally, the nomenclature used herein and the laboratory procedures in organic chemistry are well known and commonly employed in the art.

15 Standard techniques, or modifications thereof, are used for chemical syntheses and chemical analyses.

[0009] The term "polymer" refers to any of numerous natural and synthetic compounds of usually high molecular weight consisting of repeated linked units, each a relatively light and simple molecule.

20 [0010] The term "activated leaving group" refers to those moieties which are readily displaced in nucleophilic substitution reactions.

[0011] The symbol  $\sim$ , whether utilized as a bond or displayed perpendicular to a bond indicates the point at which the displayed moiety is attached to the remainder of the molecule.

## Introduction

[0012] Activated water-soluble polymer derivatives are created through the reaction of a water-soluble polymer with an activated leaving group.

### **a) Water-Soluble Polymers**

5 [0013] The hydrophilicity of a selected peptide is enhanced by conjugation with polar molecules such as amine-, ester-, ether-, hydroxyl- and polyhydroxyl-containing molecules. Representative examples include, but are not limited to, polylysine, polyethyleneimine, poly(ethyleneglycol) and poly(propyleneglycol).

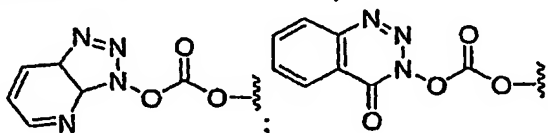
10 [0014] The present invention is further illustrated by reference to a poly(ethylene glycol) derivative. Several reviews and monographs on the functionalization and conjugation of PEG are available. See, for example, Harris, *Macromol. Chem. Phys.* C25: 325-373 (1985); Scouten, *Methods in Enzymology* 135: 30-65 (1987); Wong *et al.*, *Enzyme Microb. Technol.* 14: 866-874 (1992); Delgado *et al.*, *Critical Reviews in Therapeutic Drug Carrier Systems* 9: 249-304 (1992); Zalipsky, *Bioconjugate Chem.* 6: 150-165 (1995); and Bhadra *et al.*,  
15 *Pharmazie*, 57:5-29 (2002).

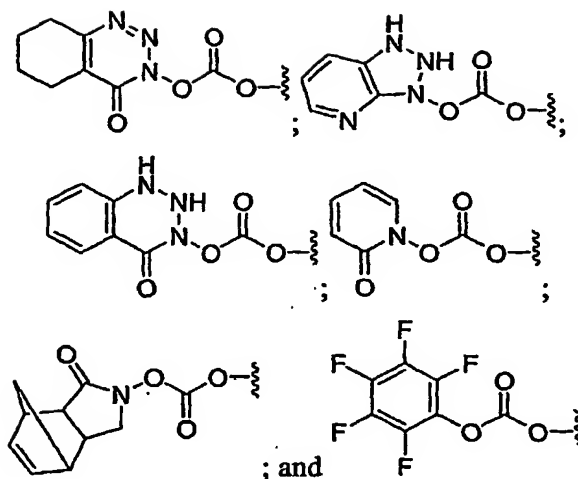
[0015] The poly(ethylene glycol) useful in forming the compositions of the invention is either linear or branched. Examples of branched polymers, which are incorporated herein by reference, can be found in the catalog of Shearwater Polymers, Inc., Huntsville, AL, as well as in U.S. Patent Nos. 6,437,025, 6,436,386, and 6,362,254.

20 [0016] Exemplary PEG and PPG derivatives disclosed herein include, but are not limited to, PEG derivatives (*e.g.*, alkyl-PEG, acyl-PEG, acyl-alkyl-PEG, alkyl-acyl-PEG carbamoyl-PEG, aryl-PEG), and PPG derivatives (*e.g.*, acyl-PPG, acyl-alkyl-PPG, alkyl-acyl-PPG carbamoyl-PPG, aryl-PPG). In a preferred embodiment, the hydroxyl group at one end of a linear PEG molecule, or at one end of the main chain of a branched PEG molecule, is  
25 covalently attached to a methyl group.

### **b) Activated Leaving Groups**

[0017] Preferred activated leaving groups, for use in the present invention, are those that do not significantly encumber the transfer of the sugar moiety to the water-soluble polymer. Accordingly, preferred embodiments include:





### EXAMPLES

- 5 [0018] The materials, methods and devices of the present invention are further illustrated by the example that follows. The example is offered to illustrate, but not to limit the claimed invention.

#### Example 1

##### Preparation of HOAt-PEG-OMe

- 10 [0019] **Synthesis of HOAt-mPEG.** In a 250 mL round-bottomed flask, 10 g (10 mmols of hydroxyl groups) of PEG-methyl ether (Aldrich, St. Louis, MO) was dissolved in 120 mL of toluene and the polymer solution was azeotropically dried for two hours under reflux using a Dean-Stark trap. The polymer solution was then cooled to 25°C and 15 mL (29 mmol) of a 20 percent solution of phosgene in toluene (1.93 M) was added. The reaction mixture was
- 15 stirred at 25°C overnight and then evaporated to dryness on a rotary evaporator (water bath temperature maintained at 40°C). Another 100 mL of toluene was added and evaporated to remove all traces of phosgene. To the polymeric chloroformate was added 30 mL of dry toluene, 10 mL of methylene chloride, and 1.7 g (14.8 mmol) of 1-hydroxy-7-
- 20 azabenzotriazole (HOAt) (Aldrich, St. Louis, MO), and the mixture was stirred vigorously. The reaction flask was then cooled in an ice water bath and 1.5 g (14.9 mmol) of triethylamine was added gradually. Immediate precipitation of triethylamine hydrochloride was seen. The cooling bath was removed and the stirring continued at 25°C for five hours. Then 10 mL of toluene was added and the reaction mixture cooled to 4°C to maximize the triethylamine hydrochloride precipitation.
- 25 [0020] The precipitate was filtered and the filtrate concentrated to about half of its original volume. The concentrated solution was then added to 60 mL of ether with stirring to

precipitate the polymeric product. After cooling to 40°C, the crude product was recovered by filtration, dried, redissolved in 100 mL of 2-propanol at 45°C and allowed to recrystallize.

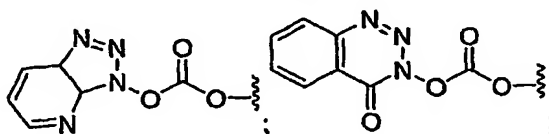
The product was recovered by filtration, washed with ether and dried under high vacuum. A white crystalline solid was recovered.

- 5 [0021] It is understood that the examples and embodiments described herein are for illustrative purposes only and that various modifications or changes in light thereof will be suggested to persons skilled in the art and are to be included within the spirit and purview of this application and scope of the appended claims. All publications, patents, and patent applications cited herein are hereby incorporated by reference in their entirety for all
- 10 purposes.

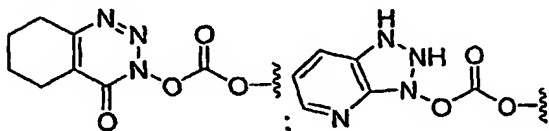
**WHAT IS CLAIMED IS:**

- 1                    1.        An activated water-soluble polymer, comprising a water-soluble  
 2        polymer covalently attached to an activated leaving group wherein the water-soluble polymer  
 3        is a member selected from PEG, PPG, PEG derivatives, and PPG derivatives, and the  
 4        activated leaving group is a member selected from:

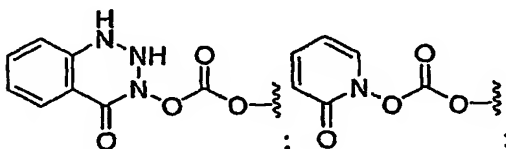
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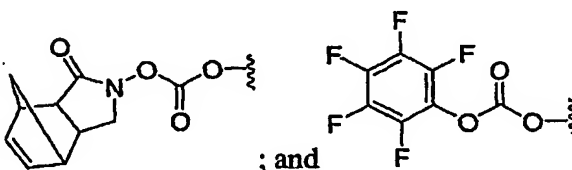
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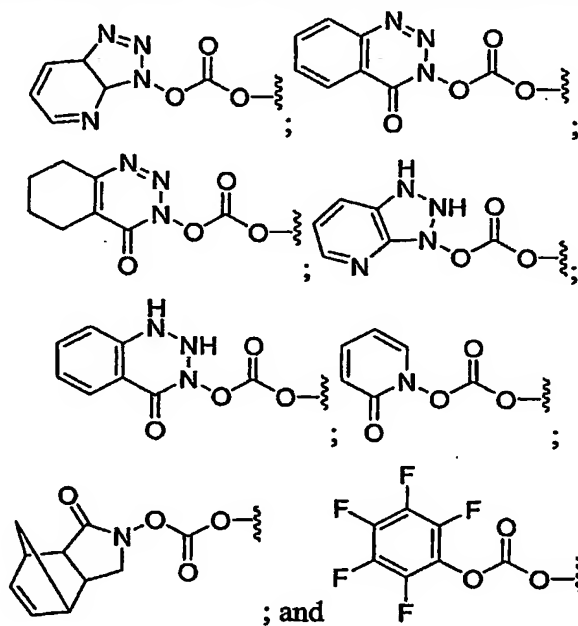


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- 2                    2.        The activated water-soluble polymer of claim 1, wherein the water-  
 3        soluble polymer is PEG-OCH<sub>3</sub>.

**ACTIVATED FORMS OF WATER-SOLUBLE POLYMERS****ABSTRACT OF THE DISCLOSURE**

The present invention provides compositions of activated water-soluble polymers for preparing water-soluble polymer-modified peptides. Exemplary compounds of the invention include water-soluble polymers covalently attached to activated leaving groups wherein the water-soluble polymer is a member selected from PEG, PPG, PEG derivatives, and PPG derivatives, and the activated leaving group is a member selected from



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